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Counsel for Highland Capital Management, L.P.

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

CHARITABLE DAF FUND, L.P., AND CLO
HOLDCO LTD.,

Plaintiff,

VS.

HIGHLAND CAPITAL MANAGEMENT, L.P.,
HIGHLAND HCF ADVISOR, LTD., AND
HIGHLAND CLO FUNDING, LTD.

Defendants.

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Case No. 3:21-cv-00842-B

**DEFENDANT HIGHLAND CAPITAL MANAGEMENT, L.P.'S
CERTIFICATE OF INTERESTED PERSONS PURSUANT TO FED. R. CIV. P. 7.1**

Highland Capital Management, L.P. (the “Defendant”), a defendant in the above-referenced matter, pursuant to Fed. R. Civ. P. 7.1 and LR 3.1(c), LR 3.2(e), LR 7.4, LR 81.1(a)(4)(D), and LR 81.2 of the Local Civil Rules for the Northern District of Texas, hereby submits the following *Certificate of Interested Persons* (the “CIP”):

For a nongovernmental corporate party, list the name(s) of its parent corporation and any publicly held corporation that owns 10% or more of its stock:

None/Not Applicable

Provide a complete list of all persons, associations of persons, firms, partnerships, corporations, guarantors, insurers, affiliates, parent or subsidiary corporations, or other legal entities that are financially interested in the outcome of the case:

1. Charitable DAF Fund, L.P., a Plaintiff in the above-referenced matter.
2. CLO Holdco Ltd., a Plaintiff in the above-referenced matter.
3. Highland Capital Management, L.P., a Defendant in the above-referenced matter.
4. Highland HCF Advisor, Ltd., a Defendant in the above-referenced matter.
5. Highland CLO Funding, Ltd., a Defendant in the above-referenced matter.

Defendant reserves the right to amend or supplement this CIP as and if necessary or appropriate.

Dated: July 23, 2021.

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/s/ Zachery Z. Annable

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Counsel for Highland Capital Management, L.P.

CERTIFICATE OF SERVICE

I hereby certify that, on July 23, 2021, a true and correct copy of the foregoing CIP was served electronically via the Court's CM/ECF system upon the parties registered to receive electronic notice in this proceeding.

/s/ Zachery Z. Annable
Zachery Z. Annable